





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard J. Lewis, et al.

Docket: 14438

Serial No.: 09/787,986

Dated: February 19, 2002

Filed: March 23, 2001

International Appln. No.: PCT/AU99/00844

International Filing: October 1, 1999

For: NOVEL PEPTIDES

Assistant Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the Notification of Defective Response dated January 18, 2002, Applicants herewith submit a substitute paper and a substitute computer readable copy of the sequence listing in connection with the above-identified application, along

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 2023 on February 19, 2002.

Dated: February 19, 2002

Michelle Mustafa

with a Statement Under 37 C.F.R. §1.821(f).

Applicants are also submitting a copy of the Notification. In view of the above, it is respectfully submitted that the application is now in condition for an examination on the merits. Such action, at an early date, is earnestly solicited.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PIB:ab



UNITED STATES PATENT AND TRADEMARK OFFICE

Corrections Patents, Rev PCI United States Patent and Tendernoric Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

09/787,986

Richard James Lewis

ATTY. DOCKET NO. 14438

INTERNATIONAL APPLICATION NO.

PCT/AU99/00844

I.A. FILING DATE PRIORITY DATE

10/01/1999

10/02/1998

Scully Scott Murphy & Presser 400 Garden City Plaza Garden City, NY 11530



CONFIRMATION NO. 1227 371 FORMALITIES LETTER

Date Mailed: 01/18/2002

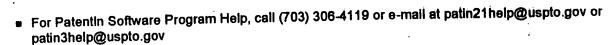
NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - See Raw Sequence Listing Error Report
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600



Applicant is required to a mplete the responsibilities within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of six months.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/787.986	PCT/AU99/00844	14438
09/707.300	• • • • • • •	

	TRANSMITTAL LETTER (General - Patent Pending)		Docket No	
In Re Application Of:	tichard J. Lewis, et al.	FEB 2 8 2002		
-Serial No.	Filing Date	Examiner	Group Art Unit	
09/787,986	March 23, 2001	Unassigned	Unassigned	
Title: NOVEL PEPTID	DES			
TO THE ASSISTANT COMMISSIONER FOR PATENTS:				
Transmitted herewith is:				
Response to Notification of Defective Response, Statement under 37 C.F.R. 1.821(f), copy of Notification, 'Substitute Paper Copy of Sequence Listing and Substitute Computer Readable Form of Sequence Listing on Disk				
i	·			
in the above identified application.				
as described belo ☐ Charge th ☐ Credit any	·	to charge and credit Deposit A	Account No. 19-1013/SSMP	
Peter I. Bernstein	Signature	Dated: February 19, 2002	:	
Registration No. 43,497			/	
Scully, Scott, Murphy & I 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343	resser	onfeb. 19, 2002 first class mail und Assistant Commis 20281	document and fee is being deposited with the U.S. Postal Service as ler 37 C.F.R. 1.8 and is addressed to the ssioner for Patents Washington, D.C.	

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

CC: